

Development of Machine Learning Clustering Method for Signal Processing *

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Abstract—In this paper, we suggest learning algorithm of high precision classifier for multivariate signal. The method deals with environmental influences. In this proposal technique, we express population parameters of probability distribution in the features of the classification target and the environment, and we estimate the population parameters using Bayesian Inference. Selecting properly similar environment by Bayesian Decision Rule, we try to apply environmental influences.

In evaluation experiment, we verify that proposed method has high classification performance, and we prove that the method apply environmental influences by comparing the case of not considering environment influences.

Index Terms—signal processing, Bayes inference, EEG

I. INTRODUCTION

We can accumulate the large data of various type because measuring technique is developing nowadays. The demand of technique that analyze comprehensively acquired data is increasing, and application example of machine learning is also increasing.

In particular, there are many researches of machine learning. The aim of these researches are identifying and predicting the state. The techniques apply wide range of signal data such as finance, voice and biological.

As one example, there is a research that learn the relation between the characteristics of sound and the emotions. The research identifies feelings that perceived from voice [1]. This research estimates feature of measurement voice signal using principal component analysis (PCA) and factor analysis, and the relation between audio signal and feeling is captured by the feature.

In addition, there are applied researches. One research classifies types of the instrument from audio signal. The other research identifies 10 types of actions of radio gymnastics

using three dimensional time series data [2,3]. The former uses Bagging that get classification results from majority vote of multiple classifiers. The latter uses Principal Component Analysis (PCA) and Linear Discriminant Analysis (LDA). It classifies the 10 type of actions using Bayesian Theory.

In the medical field of a applied research, the research uses the platelet data as input, and identifies patients with hepatitis B and patients with hepatitis C. Also, there is a research that classify the action of rock-paper-scissors by Mahalanobis distance. the research uses Electromyogram (EEG) in a point of measurement [4,5].

In this way, there are a lot of case that analyze the signal using machine learning. The method is expected that it is more expanded application fields in the near future. Therefore, it is important for the technique of machine learning to establish.

Considering diversification of data measurement, it is necessary to deal with environmental influences. In other words, accuracy of machine learning may remarkably decrease under condition that there are external factors (weather and physical condition) when we obtain data of measuring instrument.

Performing measurement that are not affected by the environmental influences is better to improve the quality of data, but it is almost impossible because there are much restrictions on the measurement. Therefore, the robust analysis against environmental influences is necessary.

In this work, we suggest learning algorithm of high precision classifier for multivariate signal. The method deals with environmental influences. In this proposal technique, we express population parameters of probability distribution in the features of the classification target and the environment, and we estimate the population parameters using Bayesian Inference. There are examples of studies using Bayesian Inference that estimated shopping mode choice, driving behavior intention using information of driver's line sight [6,7,8].

Selecting properly similar environment by Bayesian Decision Rule, we try to apply environmental influences.

In evaluation experiment, we verify that proposed method has get high classification performance using EEG, and we prove that the method apply environmental influences by comparing the case of not considering environment influences.

II. PROPOSED STATE IDENTIFICATION METHOD

In this paper, when we obtain dataset N of D dimension are acquired from measuring instruments C , we suggest the method to identify states K by considering environmental influences.

A. Problem setting

We obtain X that is observed dataset N of D dimension are acquired from measuring instruments C ,

$$X = \left\{ X^{(c)} \mid c = 0, 1, \dots, C-1 \right\}. \quad (1)$$

where $X^{(c)}$ has been defined

$$X^{(c)} = \left\{ x_n^{(c)} \in \mathbb{R}^D \mid n = 0, 1, \dots, N-1 \right\}. \quad (2)$$

where $X^{(c)}$ is data that have been given measuring instrument c . Also, teacher label have been giving measurement environment types M ,

$$E = \{ e_n \in \mathbb{T}_M \mid n = 0, 1, \dots, N-1 \}. \quad (3)$$

Teacher labels representing identification target states K ,

$$Z = \{ z_n \in \mathbb{T}_K \mid n = 0, 1, \dots, N-1 \}. \quad (4)$$

It is assumed that each $X^{(c)}$ has been given

$$\mathbb{T}_L \equiv \left\{ z \in \{0, 1\}^L \mid \sum_{\ell=0}^{L-1} [z]_{\ell} = 1 \right\}. \quad (5)$$

where $X_{(e,z)}$ has been defined

$$X_{(e,z)} = \left\{ X_{(e,z)}^{(c)} \mid c = 0, 1, \dots, C-1 \right\}. \quad (6)$$

where $X_{(e,z)}$ is a set in which have the measurement environment type e and the identification target state z

$$\begin{aligned} X_{(e,z)}^{(c)} &\equiv \left\{ x_n^{(c)} \in \mathbb{R}^D \mid (e_n, z_n) = (e, z), n = 0, 1, \dots, N-1 \right\}. \end{aligned} \quad (7)$$

where each $X_{(e,z)}^{(c)}$ is the data set with features according to specified environment and state.

In suggest method, we use Bayesian Inference to learn features of each $X_{(e,z)}^{(c)}$. We suppose the probability distribution that the data follows is the multivariate Gaussian distribution, and estimate the mean vector and the variance-covariance matrix.

B. Learning rule based on Bayesian inference of probability distribution

Under the assumption of the preceding paragraph, Prior probability $P_0(\mu_{(e,z)}^{(c)}, \Lambda_{(e,z)}^{(c)})$ has been defined

$$\begin{aligned} P_0(\mu_{(e,z)}^{(c)}, \Lambda_{(e,z)}^{(c)}) &= \mathcal{N}_D(\mu_{(e,z)}^{(c)} | m_0, (\beta_0 \Lambda_{(e,z)}^{(c)})^{-1}) \\ &\times \mathcal{W}_D(\Lambda_{(e,z)}^{(c)} | \alpha I_D, \nu_0). \end{aligned} \quad (8)$$

where $\mu_{(e,z)}$, $\Lambda_{(e,z)}$ has been mean vector and precision matrix for the data set $X_{(e,z)}$. Also, posterior probability $Q(\mu_{(e,z)}^{(c)}, \Lambda_{(e,z)}^{(c)} | X^{(c)})$ has been defined

$$\begin{aligned} Q(\mu_{(e,z)}^{(c)}, \Lambda_{(e,z)}^{(c)} | X^{(c)}) &= \mathcal{N}_D(\mu_{(e,z)}^{(c)} | m_{(e,z)}^{(c)}, (\beta_{(e,z)}^{(c)} \Lambda_{(e,z)}^{(c)})^{-1}) \\ &\times \mathcal{W}_D(\Lambda_{(e,z)}^{(c)} | W_{(e,z)}^{(c)}, \nu_{(e,z)}^{(c)}). \end{aligned} \quad (9)$$

Then, $\mathcal{N}_D(x | \mu, \Lambda^{-1})$ has expressed probability density function of D dimensional Gaussian distribution for mean vector μ and precision matrix Λ^{-1} .

Also, $\mathcal{W}_D(\Lambda | W, \nu)$ have been probability density function of D dimensional Wishart distribution. I_D is D dimensional identity.

where hyper parameters of posterior probability has been defined below

$$m_{(e,z)}^{(c)} = \frac{\beta_0 m_0 + N_{(e,z)}^{(c)} \bar{x}_{(e,z)}^{(c)}}{\beta_0 + N_{(e,z)}^{(c)}}, \quad (10)$$

$$\beta_{(e,z)}^{(c)} = \beta_0 + N_{(e,z)}^{(c)}, \quad (11)$$

$$\begin{aligned} (W_{(e,z)}^{(c)})^{-1} &= \alpha^{-1} I_D + N_{(e,z)}^{(c)} S_{(e,z)}^{(c)} + \frac{\beta_0 N_{(e,z)}^{(c)}}{\beta_0 + N_{(e,z)}^{(c)}} \\ &\times (\bar{x}_{(e,z)}^{(c)} - m_0)(\bar{x}_{(e,z)}^{(c)} - m_0)^T, \end{aligned} \quad (12)$$

$$\nu_{(e,z)}^{(c)} = \nu_0 + N_{(e,z)}^{(c)}. \quad (13)$$

where

$$N_{(e,z)}^{(c)} \equiv |X_{(e,z)}^{(c)}| \quad (14)$$

$$\bar{x}_{(e,z)}^{(c)} \equiv \frac{1}{|X_{(e,z)}^{(c)}|} \sum_{n=0}^{N-1} \mathbb{I}[(e_n, z_n) = (e, z)] x_n^{(c)} \quad (15)$$

$$\begin{aligned} S_{(e,z)}^{(c)} &\equiv \frac{1}{|X_{(e,z)}^{(c)}|} \sum_{n=0}^{N-1} \mathbb{I}[(e_n, z_n) = (e, z)] x_n^{(c)} (x_n^{(c)})^T \\ &- \bar{x}_{(e,z)}^{(c)} (\bar{x}_{(e,z)}^{(c)})^T \end{aligned} \quad (16)$$

Then,

$$\mathbb{I}[(e_n, z_n) = (e, z)] \equiv \begin{cases} 1 & (e_n, z_n) = (e, z) \\ 0 & (e_n, z_n) \neq (e, z) \end{cases} \quad (17)$$

When the environment e and state of identification target z has been known, we indicate degree of supporting measurements data $\{x^{(c)}\}$. In the case that there is no imbalance

such as the particular situation, $P(e, z | \mathbf{X}, \{x^{(c)}\})$ has been given

$$\begin{aligned} & P(e, z | \mathbf{X}, \{x^{(c)}\}) \\ & \propto \prod_{c=0}^{C-1} \int \int \mathcal{N}_D(x^{(c)} | \mu_{(e,z)}^{(c)}, (\Lambda_{(e,z)}^{(c)})^{-1}) \\ & \times Q(\mu_{(e,z)}^{(c)}, \Lambda_{(e,z)}^{(c)} | \mathbf{X}^{(c)}) d\mu_{(e,z)}^{(c)} d\Lambda_{(e,z)}^{(c)} \\ & = S_D(x^{(c)} | m^*, Q^*, f^*). \end{aligned} \quad (18)$$

Then m^*, Q^*, f^* has been given by

$$m^* = m_{(e,z)}^{(c)}, \quad (19)$$

$$Q^* = (\nu_{(e,z)}^{(c)} - D + 1) \frac{\beta_{(e,z)}^{(c)}}{\beta_{(e,z)}^{(c)} + 1} W_{(e,z)}^{(c)}, \quad (20)$$

$$f^* = \nu_{(e,z)}^{(c)} - D + 1. \quad (21)$$

$$(22)$$

Also, S_D has been multivariate t-distribution of free degree f , and it has been given by

$$\begin{aligned} & S_D(x | m, Q, f) \\ & = \frac{\Gamma(\frac{f}{2} + \frac{D}{2})}{\Gamma(\frac{f}{2})} |Q|^{\frac{1}{2}} \left(1 + \frac{1}{f} (x - m)^T Q (x - m)\right)^{-\frac{f}{2} - \frac{D}{2}} \end{aligned} \quad (23)$$

where $\Gamma(\cdot)$ is Gamma function, and $P(e, z | \mathbf{X}, \{x^{(c)}\})$ has must been standardized.

$$\sum_{(e,z) \in \mathbb{T}_M \times \mathbb{T}_K} P(e, z | \mathbf{X}, \{x^{(c)}\}) = 1. \quad (24)$$

In the case that M is big and learning data can be prepared under various environments, the measuring environment of $\{x^{(c)}\}$ will be found the similar environment within measuring environmental types of learning data. The measuring environment e of $\{x^{(c)}\}$ have been treated as the element of \mathbb{T}_M .

There are multiple methods methods that classify the state from the supporting degree by eq.(18). As a simple example, we adopt the method that the classification result is environmental state with the maximize supporting degree.

$$(e_1^*, z_1^*) = \arg \max_{e, z \in \mathbb{T}_M \times \mathbb{T}_K} P(e, z | \mathbf{X}, \{x^{(c)}\}) \quad (25)$$

z_1^* is the result of state classification.

Next, considering to the case that measuring data $\{x_{\text{cal}}^{(c)}\}$ for calibration and state of target classification z_{cal} have been known, we can identify the measuring environment using its information. Then, the optimal environment e_2^* that based on the maximum a posteriori probability method is given by

$$\begin{aligned} e_2^* & = \arg \max_{e \in \mathbb{T}_M} P(e | \mathbf{X}, \{x_{\text{cal}}^{(c)}\}, z_{\text{cal}}) \\ & = \arg \max_{e \in \mathbb{T}_M} P(e, z_{\text{cal}} | \mathbf{X}, \{x_{\text{cal}}^{(c)}\}). \end{aligned} \quad (26)$$

Using the optimal environment that is estimated from calibration data, the state of the continuous measuring data $\{x^{(c)}\}$ have been classified.

$$z_2^* = \arg \max_{z \in \mathbb{T}_K} P(e^*, z | \mathbf{X}, \{x^{(c)}\}) \quad (27)$$

This identification method have been expected to perform stable and highly accurate classification against changes of measuring environment because that method has not done peripheralize.

In the next section, we evaluate performance of eq.(25) and eq.(27) using EEG.

III. EVALUATION OF PROPOSED METHOD USING EEG DATA

A. Outline of evaluation experiment

In this study, Using ULTRACORTEX MARK 4 of headset and Cyton Board produced by OpenBCI got EEG and evaluated the performance of suggest method to apply the problems identifying states that total of 4 states including the state of no action and state of rock-paper-scissors.

EEG includes signal as a example and measurement data of a plurality of measurement sites can be expressed as multidimensional data. EEG is not always able to acquire data of the same characteristics each time because EEG is biological data that change the feature due to user's physical condition and measurement position shifts somewhat when detaching. There is a danger that change in features of data leads to lower accuracy when predicting motion by machine learning using EEG.

Therefore, we suggest robust analysis method that deal with environment influences such as attachment of measuring instrument and user's physical condition based on theory of Section 2.

Also, it is difficult to acquire enormous biological data because the burden on people is large to acquire data. Therefore, it is necessary to analyze with small amount of getting data. Our suggest method can analyze with small amount of getting data.

Through evaluation experiments, we verify that the suggest method responds to environment influences and analyze with amount of data and show the effectiveness of this method.

1) *Experimental method:* In the evaluation experiment, a subject have the electroencephalograph attached and perform rock-paper-scissors action in accordance with instructions on the created slide(Fig.1) and analyze getting data. All slide of the created slide is displayed for 1 second. A subject become standby state when "3" and "2" of slides is displayed for 2 seconds and a slide of rock-paper-scissors slide displayed for 1 seconds as instruction slide. A subject put out the hand of the prize that will win the rock-paper-scissors hand of the instruction slide when white slide is displayed after instruction slide is displayed.

Measurement sites of EEG followed ten-twenty electrode system (Fig. 2) and we acquired EEG using 8 measurement

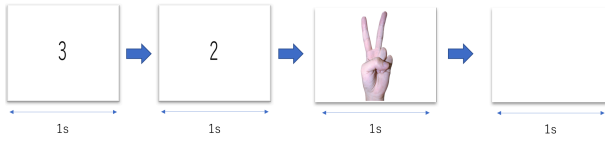


Fig. 1. Flow of creating slide

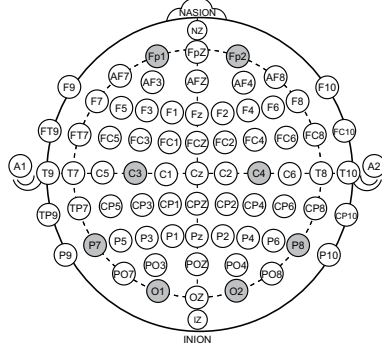


Fig. 2. ten-twenty electrode system

sites (Fp1, Fp2, C3, C4, P7, P8, O1, O2). Also, Fig. 3 shows the state of the experiment.

The number of subject is one person. Evaluation experiment were conducted over 4 days (2018.5.9, 5.10, 5.11, 5.14) because it is considered that there are environmental influences such as physical condition of the subject and shifting measurement sites due to attachment/detachment of the measurement instrument.

A set of 4 seconds from the standby state to the rock-paper-scissors action state in experiment. We got 100 set of EEG data a day. Therefore, we acquired 400 sets of EEG data for 4 days.

2) *Environmental change*: In order to check the presence or absence of environmental influences, frequency analysis was performed for each measurement sites for environmental data. Environmental data is the standby state of EEG data. The fact that differences in features of such EEG data were observed every observation day suggests that there was an influence due to the physical condition of subject and slight deviation due to attachment and detachment of the measurement instrument.

When examining the presence or absence of environmental

influences based on the data difference on each measurement dates, a significant difference was found in the data for 4 days by graphs of frequency analysis for each measurement sites. In particular, CH3(C3) and CH8(O2) showed a clear difference. Fig.4 and Fig.5 show the average graphs of CH3(C3)'s and CH8(O2)'s power spectra for 100 sets.

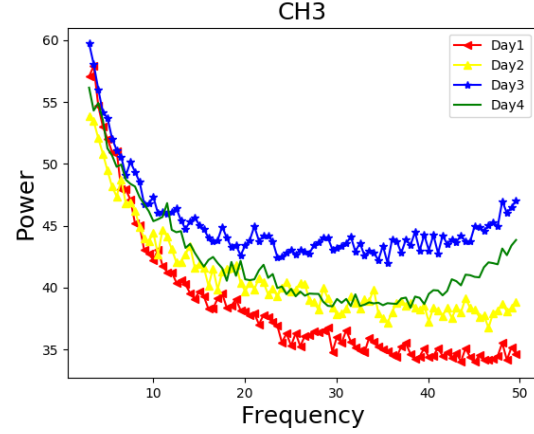


Fig. 4. Graph of frequency analysis of CH₃(C₃)

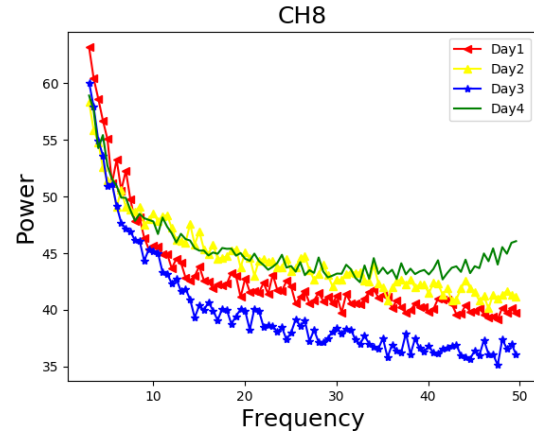


Fig. 5. Graph of frequency analysis of CH8(O1)

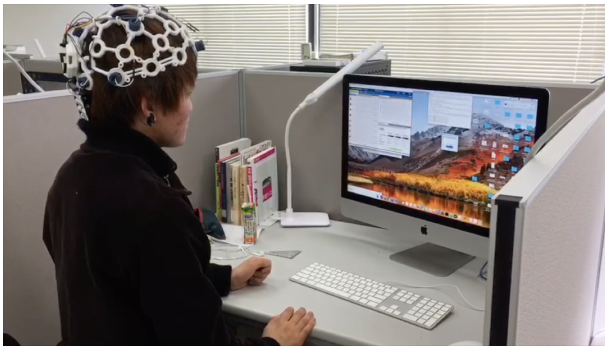


Fig. 3. Wearing of an electroencephalograph

B. Application of proposal identification method to EEG data

When analyzing EEG, there are two case that take the reference point of potential from the earlobe and subtract the average value of each measurement sites. In this study, we adopted the latter.

In EEG data of measurement site C , $\mathbf{S}(t) = [S^{(1)}(t), S^{(2)}(t), \dots, S^{(c)}(t)]^T$ is given. Then, $\boldsymbol{\mu}(t)$ is given by

$$\mu(t) = \frac{1}{C} \sum_{c=1}^C \mathbf{S}^{(c)}(t) \quad (28)$$

In each measurement sites, getting EEG data $S_0^{(c)}(t)$ to subtract signal average value $\mu(t)$

$$S_0^{(c)}(t) = S(t) - \mu(t)\mathbf{1} \quad (29)$$

Hamming window was applied to the acquired data and got power spectra using fast Fourier transform (FFT). The frequency band used for analysis was 3-50Hz. we divided the frequency band into 12 bands as the feature quantity for classification and used 12 dimensional feature vector composed $S_0^{(c)}(t)$ of power in each band.

Also, using initial value of parameters are $\beta_0 = 0.1$, $\mathbf{m}_0 = \mathbf{0}$, $\nu = 12$, $D = 12$. $K = 3, 4$.

C. Performance result of the proposed method

The data used to classify the rock-paper-scissors action was 1 second data while instruction slide is displayed and 2 seconds data of standby state is used as the environment data of no action. we made two kinds of verification that 3 classification of rock-paper-scissors and 4 classification of rock-paper-scissors and no action. In addition, we also two kinds of verification that the case whether environmental influences can be ignored in each that verification.

In the case that environmental influences can be ignored, there is not measuring instrument attachment / detachment and acquired data on the same measurement day first set of 60 sets of data for one day was used as training data and the remaining 40 sets were used as test data to obtain classification accuracy of each day.

On the other hand, evaluation was performed using the state classification of the environment of the suggest method. we trained with data of one day and data of the other day as test data and selected the environment based on optimal environment identification.

1) *Classification without responding to 3 class environmental changes:* Table 1 shows the result of classification for acquired data for 4 days of 2018.5.9(Day1),2018.5.10(Day2), 2018.5.11(Day3),2018.5.14(Day4)

TABLE I
CLASSIFICATION ACCURACY IN THE CASE WHERE ENVIRONMENTAL INFLUENCES CAN BE IGNORED IN 3 CLASSIFICATION

	Day1	Day2	Day3	Day4
α	0.000794	0.000114	0.000154	0.000384
train(accuracy)	0.81	0.51	0.66	0.55
test(accuracy)	0.38	0.53	0.43	0.41

In this study, changing parameter is only α . The values of α and the classification accuracy of the training data and the classification accuracy of the test data are shown in Fig.6, Fig.7. Day 2 got the highest accuracy of 53 %

Since the accuracy of the test data was relatively low and the classification accuracy of the training data is high, it could be seen that overfitting occurs and it could not be classified successfully. On the other hand, because the accuracy of the test data of the other three days and the classification accuracy of the training data did not change, it could be considered that classification could be done without causing overfitting.

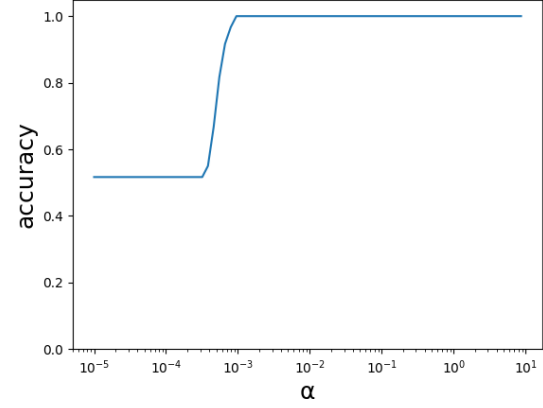


Fig. 6. Parameter α of the training data of 3 class classification and graph of accuracy

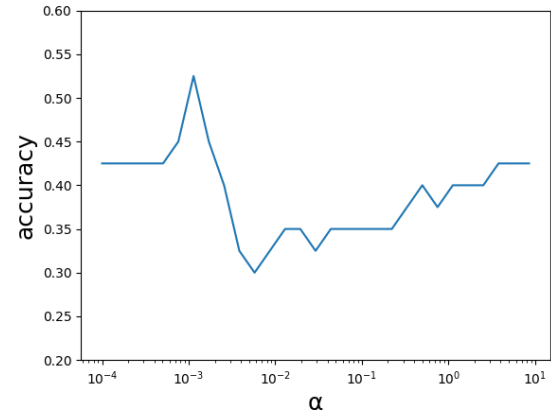


Fig. 7. Parameter α of the test data of 3 class classification and graph of accuracy

2) *Classification without responding to four class environmental changes:* In the classification of 4 classes, it included classification no action states in addition to rock-paper-scissors classes. we verified whether EEG is changing when subject were playing rock-paper-scissors by setting a class in the no action state. Table 2 shows the result of 4 classification.

TABLE II
CLASSIFICATION ACCURACY WITHOUT RESPONSE TO ENVIRONMENTAL CHANGE

	Day1	Day2	Day3	Day4
α	0.0195	0.0046	0.0017	0.0011
train(accuracy)	0.55	0.75	0.52	0.52
test(accuracy)	0.52	0.53	0.55	0.5

Fig.8 and Fig.9 show the value of α and classification accuracy graphs of the training data and the classification accuracy of the test data. Day 3 got the highest accuracy of 55 %

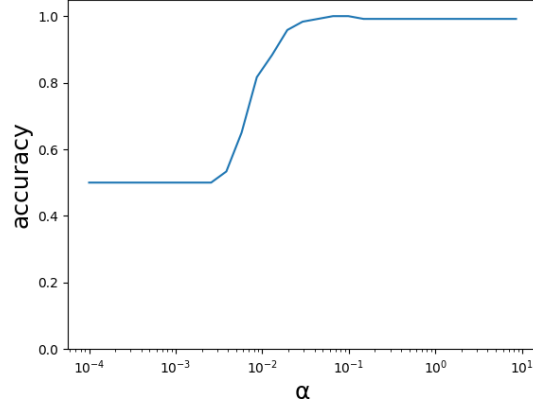


Fig. 8. Parameter α of the training data of 4 class classification and graph of accuracy

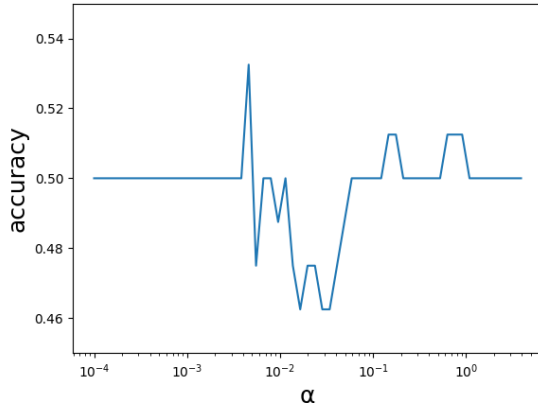


Fig. 9. Parameter α of the test data of 4 class classification and graph of accuracy

3) *Environmental condition determination*: In this study, acquired data of no action for 4 days were used as calibration data. We estimated the optimum environment based on (26) against that calibration data. Data of 100 sets of data on each day was used as the calibration data. The result of the estimation of the optimum environment is shown in Fig. 10.

We estimated the optimum environment of each day's data like the result that the optimum environment for Day 1 is Day 3. Therefore, when dealing with environmental influences, classification was carried out using data of the estimated optimum environment.

4) *Classification of correspondence to three classes of environmental change*: Table 3 shows the classification results when classifying data using 3 days data other than the optimum environment as test data. Also, the value of α was used when the environmental influences could be ignored in 3 classification.

As a result of dispersion analysis, the dispersion ratio became 2.2 % in this evaluation. Also, Factors of classifica-

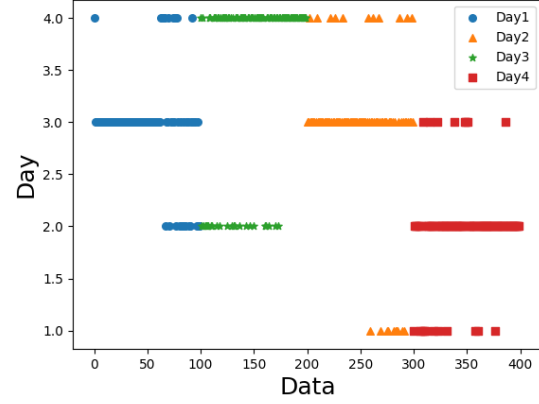


Fig. 10. Environmental condition determination

TABLE III
CLASSIFICATION ACCURACY OF CORRESPONDENCE TO ENVIRONMENTAL CHANGE

	Day1	Day2	Day3	Day4
test(No response to environmental change)	0.30	0.22	0.32	0.33
test(Responding to environmental change)	0.35	0.37	0.43	0.4

* $p < 0.05$

tion accuracy with correspondence to environmental change and correspondence to environmental change were significant ($p < 0.05$).

As a result, Since the average of classification accuracy with response to environmental change was 38.75 % and the average of classification accuracy without response to environmental change was 29.75 %, the classification that corresponded to environmental change was more accurate.

5) *Classification of correspondence to 4 classes of environmental change*: We sought classification accuracy in case of responding to 4 classification of environmental influences including standby state. Also, we classified after choosing the environment by identifying the optimum environment as in the case of responding to three class environmental changes.

TABLE IV
CLASSIFICATION ACCURACY OF CORRESPONDENCE TO ENVIRONMENTAL CHANGE

	Day1	Day2	Day3	Day4
test(No response to environmental change)	0.4	0.41	0.49	0.41
test(Responding to environmental change)	0.46	0.48	0.51	0.49

* $p < 0.05$

As a result of dispersion analysis, the dispersion ratio became 2.3 % in this evaluation. Also, Factors of classification accuracy with correspondence to environmental change and correspondence to environmental change were significant ($p < 0.05$).

As a result, Since the average of classification accuracy with response to environmental change was 48.25 % and the average of classification accuracy without response to environmental change was 42.75 %, the classification that corresponded to environmental change was more accurate.

IV. CONCLUSION

In the present study, we proposed a classification method of states considering environmental influences. Our classification is based on Bayesian Inference. The method learns probability distributions. We evaluated the method. In the evaluate, we used prepared training data of multiple environments and attempted to respond to environment influences by seeking the optimum environment.

In evaluation experiment, we measured EEG data and verified to respond to environment influences classifying rock-paper-scissors action using acquired data. In the case of 3 states classification and 4 states classification, 4 days EEG data was divided into training data and test data to obtain the accuracy of the proposed method.

We classified under various the environment. In classifying under the different, we classified 3 states and 4 states after selected the optimum environment by environmental condition determination. The accuracy of classification average were 38.75 % in 3 states classification and 48.5 % in 4 states classification, respectively. When we compared the case that not considering environmental influences, classified 3 states and 4 states after selected the optimum environment by environmental condition determination. The accuracy of classification average were 29.25 % in 3 class classification and 42.75 % in 4 class classification. The classification accuracy we proposed method is higher and the method indicate significance. Our proposed method showed effectiveness to respond to environment influences in classification in consideration of environmental change.

It is necessary to apply to other signals such as sound data and financial data in the future.

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